

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 41565/196752

First Inventor or Application Identifier: William Paul JACKSON

Title of Invention: PROCESS FOR THE PREPARATION OF  
10,11-DIHYDRO-5H-DIBENZO[A,D]CYCLOHEPT-5-ENES  
AND DERIVATIVES THEREOF

ADDRESS TO: ASSISTANT COMMISSIONER FOR PATENTS  
BOX PATENT APPLICATION  
WASHINGTON, DC 20231

Transmitted herewith for filing in the United States Patent Office is a patent application for:

Inventors: William Paul JACKSON

1. ☒ The Filing Fee has been calculated as shown below:

	No. Filed	No. Extra	Small Entity Rate	Fee 0	Large Entity Rate	Fee 0
BASIC FEE				\$0		\$0
TOTAL CLAIMS:	17 - 20 =	0		X 9 = \$0		x 18 = \$0
INDEP CLAIMS:	2 - 3 =	0		X 39 = \$0		x 78 = \$0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED				+130 = \$		+260 = \$
*If the difference in Column 1 is less than zero, enter "0" in Column 2.				TOTAL \$ 690		TOTAL \$

The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 16-0605.

- a. ☐ Fees required under 37 CFR 1.16 (National filing fees).  
b. ☐ Fees required under 37 CFR 1.17 (National application processing fees).  
☐ A check in the amount of \$ \_\_\_ for the filing fee is enclosed.  
☒ The above filing fee will be paid along with Applicant(s) Response to the Notice to File Missing Parts.  
2. ☒ Specification; Total Pages 13  
3. ☐ \_\_\_ Sheets of Formal Drawing(s) (35 USC 113)

4. ☒ Declaration and Power of Attorney; [Total Pages 3]  
a. ☐ Newly executed (original or copy)  
b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 16 completed)  
i. ☐ DELETION OF INVENTOR(S) Signed statement  
attached deleting inventor(s) named in the prior  
application, see 37 CFR 1.63(d)(2) & 1.33(b).
5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  
a. ☐ Computer Readable Copy  
b. ☐ Paper Copy (identical to computer copy)  
c. ☐ Statement verifying identity of above copies

#### ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s) (including a check for  
the \$40.00 fee)
8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee); ☐ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449; \_\_\_ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
13. ☒ Small Entity Statement(s)  
☒ Statement filed in prior application; status still proper and desired.
14. ☒ Priority of Great Britain Patent Application No. 9703992.9, filed 26 February 1997 was  
claimed under 35 USC 119 in the parent application.
15. ☐ Other: \_\_\_
16. **If a CONTINUING APPLICATION, check appropriate box and supply the requisite  
information below and in a preliminary amendment:**
- ☒ Continuation ☐ Divisional ☐ Continuation in Part (CIP)  
of prior Application No: 09/383,078; Filed August 26, 1999

Prior Application Information: Examiner F. Powers Group/Art Unit: 1613

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is  
supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby  
incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted  
application parts.

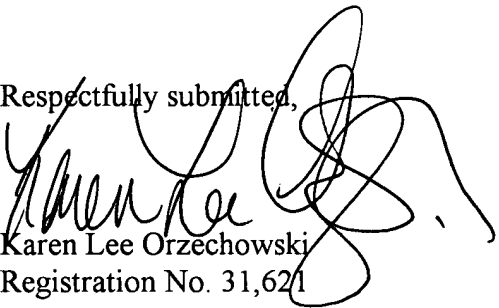
17. **CORRESPONDENCE ADDRESS**

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Respectfully submitted,

  
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